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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/814,337
	Filing Date	Mar 21, 2001
	First Named Inventor	Bolosky
	Group Art Unit	2131
	Examiner Name	HAYES, GAIL
Total Number of Pages in This Submission	Attorney Docket Number	MS1-735US

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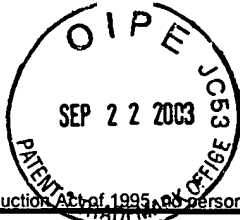
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	CUSTOMER NO. 22801	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lewis C. Lee, Reg. No. 34,656
Signature	
Date	9-16-2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: 9/17/03		
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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 09/814,337
Filing Date Mar 21, 2001
First Named Inventor Bolosky
Examiner Name HAYES, GAIL O
Art Unit 2131
Attorney Docket No. MS1-735US

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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 12-0769

Deposit Account Name Lee & Hayes, PLLC

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

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FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X 18	
Multiple Dependent Claims	-3** =	X 84	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051 130		2051 65		Surcharge - late filing fee or oath	
1052 50		2052 25		Surcharge - late provisional filing fee or cover sheet	
1053 130		1053 130		Non-English specification	
1812 2,520		1812 2,520		For filing a request for ex parte reexamination	
1804 920*		1804 920*		Requesting publication of SIR prior to Examiner action	
1805 1,840*		1805 1,840*		Requesting publication of SIR after Examiner action	
1251 110		2251 55		Extension for reply within first month	
1252 410		2252 205		Extension for reply within second month	
1253 930		2253 465		Extension for reply within third month	
1254 1,450		2254 725		Extension for reply within fourth month	
1255 1,970		2255 985		Extension for reply within fifth month	
1401 320		2401 160		Notice of Appeal	
1402 320		2402 160		Filing a brief in support of an appeal	
1403 280		2403 140		Request for oral hearing	
1451 1,510		1451 1,510		Petition to institute a public use proceeding	
1452 110		2452 55		Petition to revive - unavoidable	
1453 1,300		2453 650		Petition to revive - unintentional	
1501 1,300		2501 650		Utility issue fee (or reissue)	
1502 470		2502 235		Design issue fee	
1503 630		2503 315		Plant issue fee	
1460 130		1460 130		Petitions to the Commissioner	
1807 50		1807 50		Processing fee under 37 CFR 1.17(q)	
1806 180		1806 180		Submission of Information Disclosure Stmt	
8021 40		8021 40		Recording each patent assignment per property (times number of properties)	
1809 750		2809 375		Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750		2810 375		For each additional invention to be examined (37 CFR 1.129(b))	
1801 750		2801 375		Request for Continued Examination (RCE)	
1802 900		1802 900		Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

(Complete if applicable)

Name (Print/Type) Lewis G. Lee Registration No. 34,656 Telephone (509) 324-9256
Signature [Signature] (Attorney/Agent) Date 9-16-2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.09/814,337
Filing Date Mar 21, 2001
Confirmation No 3684
Inventorship Bolosky
Applicant Microsoft Corporation
Group Art Unit 2131
Examiner HAYES, GAIL O
Attorney's Docket No.MS1-735US
Title: On-Disk File Format for Serverless Distributed File System with Signed
Manifest of File Modifications

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INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449


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REMARKS

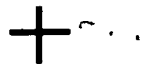
The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully submitted,

Date: 9-16-2003

By: 
Lewis C. Lee
Reg. No. 34,656

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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/814,337	
			Filing Date	Mar 21, 2001	
			First Named Inventor	Bolosky	
			Group Art Unit	2131	
			Examiner Name	HAYES, GAIL O	
Sheet	2	of	4	Attorney Docket Number	MS1-735US

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Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		E. Adar and B. Huberman, "Free Riding on Gnutella," Xerox PARC Technical Report, pages 1-22, August 2000.	
		R. Anderson, "The Eternity Service," PRAGO-CRYPT, pages 242-252, October 1996.	
		T. Anderson, M. Dahlin, J. Neefe, D. Patterson, D. Roselli, and R. Wang, "Serverless Network File Systems," 15th Symposium on Operating Systems Principles, pages 109-126, December 1995.	
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		W. Bolosky, S. Corbin, D. Goebel, and J. Douceur, "Single Instance Storage in Windows® 2000," Proceedings of the 4th USENIX Windows Systems Symposium, pages 13-24, August 2000.	
		G. Cabri, A. Corradi, F. Zambonelli, "Experience of Adaptive Replication in Distributed File Systems", 22nd IEEE EUROMICRO, 10 pages, September 1996.	
		M. Castro and B. Liskov, "Practical Byzantine Fault Tolerance," Proceedings of the Third Symposium on Operating Systems Design and Implementation, 14 pages, February 1999.	
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		J. Douceur and W. Bolosky, "A Large-Scale Study of File-System Contents," SIGMETRICS, pages 59-70, May 1999.	
		L. Fan, P. Cao, J. Almeida, and A. Broder, "Summary Cache: A Scalable Wide-Area Web Cache Sharing Protocol", ACM SIGCOMM, pages 254-265, 1998.	

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO			Complete if Known		RECEIVED SEP 25 2003 Technology Center 2100
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/814,337	
			Filing Date	Mar 21, 2001	
			First Named Inventor	Bolosky	
			Group Art Unit	2131	
			Examiner Name	HAYES, GAIL O	
Sheet	4	of	4	Attorney Docket Number	MS1-735US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ²	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		R. T. Reich and D. Albee, "S.M.A.R.T. Phase-II," Number WP-9803-001, Maxtor Corporation, 3 pages, February 1998.	
		J. D. Saltzer and M. D. Schroeder, "The Protection of Information in Computer Systems," Proceedings of the IEEE 63(9), pages 1278-1308, September 1975.	
		R. Sandberg, D. Goldberg, S. Kleiman, D. Walsh, and B. Lyon, "Design and Implementation of the Sun Network Filesystem," Summer USENIX Conference, pages 119-130, June 1985.	
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Examiner Signature		Date Considered	
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Sheet	3	of	4	Attorney Docket Number	MS1-735US

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NON PATENT LITERATURE DOCUMENTS			
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		A. Goldberg and P. Yianilos, "Towards an Archival Interemory," IEEE International Forum on Research and Technology Advances in Digital Libraries, pages 147-156, April 1998.	
		J. Howard, M. Kazar, S. Menees, D. Nichols, M. Satyanarayanan, R. Sidebotham, and M. West, "Scale and Performance in a Distributed File System," ACM Transactions on Computer Systems, pages 51-81, Feb. 1988.	
		J. Kistler and M. Satyanarayanan, "Disconnected Operation in the Coda File System," ACM Transactions on Computer Systems, Vol. 10, No. 1, pages 3-25, February 1992.	
		J. Kubiawicz et al., "OceanStore: An Architecture for Global-Scale Persistent Storage," Proceedings of the Ninth International Conference on Architectural Support for Programming Languages and Operating Systems, 12 pages, Nov. 2000.	
		E. Lee and C. Thekkath, "Petal: Distributed Virtual Disks," Seventh International Conference on Architectural Support for Programming Languages and Operating Systems", pages 84-92, October 1996.	
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		D.L. McCue, M.C. Little, "Computing Replica Placement in Distributed Systems", IEEE Second Workshop on Replicated Data, pages 58-61, November 1992.	
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		The OceanStore Project web pages, http://oceanstore.cs.berkeley.edu/info/overview.html , 2 pages, last modified July 8, 2002.	
		C. Plaxton, R. Rajaraman, and A. Richa, "Accessing Nearby Copies of Replicated Objects in a Distributed Environment", Proceedings of the 9th Annual ACM Symposium on Parallel Algorithms and Architectures, pages 311-320, 1997.	
		C. Plaxton, R. Rajaraman, and A. Richa, "Accessing Nearby Copies of Replicated Objects in a Distributed Environment", Theory of Computing Systems, pages 32:241-280, 1999.	

Examiner Signature		Date Considered	
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